

REGIONAL COALITION OF LANL COMMUNITIES – DOE-EM GRANT APPLICATION FYs 2022-2026

I. Statement of Purpose

The Regional Coalition of Los Alamos National Laboratory (LANL) Communities (the RCLC) has worked collaboratively with the U.S. Department of Energy (DOE or Department) since its inception in 2011 to proactively address issues of the neighboring cities, counties and pueblos directly affected by LANL site activities. By working together, our governments are better poised to define the public interest and to work with DOE, the National Nuclear Security Administration (NNSA), contractors and the U.S. Congress to ensure that state and federal policies protect and promote local interests. Funding from DOE ensures that the RCLC and DOE have the means to conduct a process in which to work together, to share and disseminate information, and to educate one another on LANL's objectives, environmental cleanup and other pertinent operations.

The activities described in this funding proposal are integral to the vitality of the RCLC's commitment to serving the area in increasing local government's relationship with LANL and supporting ongoing and future environmental cleanup activities at LANL. Since 2011, interaction amongst member governments and DOE has increased awareness of the wherewithal of ensuring national priorities duly consider local interests. Through the RCLC, we facilitate a regular dialogue and create a forum to address LANL issues. Our participatory Local and Tribal governments have built a partnership with DOE that has proved to be a positive return on investment for the Department. Until the formation of the Regional Coalition, a thriving government-to-government discourse was absent at LANL. Until the formation of the RCLC, there was no opportunity for a focused local government dialogue and direct government-to-government communication, despite the existence of the Federal Advisory Committee and the Northern New Mexico Citizen's Advisory Board (CAB). By directly engaging local governments DOE improves and streamlines the decision-making process, as demonstrated at throughout the sites in the DOE complex, saving both time and money and keeping the public informed of the environmental cleanup progress at LANL.

Our purpose and mission is to enhance our relationship with DOE and organizations surrounding the LANL landscape and optimize performance in our upcoming year and future years of activity.

II. Justification

The RCLC is the only group composed of Elected Officials of Local Governments and Officials from Tribal Governments affected by LANL activities. The RCLC provides a forum for these Officials to form a dialectical dialogue, share information and educate each other on a variety of pertinent issues, including but not limited to cleanup activities, economic development, regional planning, policy initiatives and legislative action.

The RCLC is modeled on other successful local government organizations, including those at Rocky Flats in Colorado and Mound Site in Ohio. At these and other DOE complexes, local government groups continue to successfully work with DOE, contractors, regulatory agencies, and community members to solve the many complex technical and policy challenges facing DOE and its facilities. We are advocates of responsible DOE mission expansion in order to meet tomorrow's challenges.

The RCLC is working to build upon these successes and continue a regional government dialogue on cleanup that was lacking prior to the formation of the Regional Coalition. Additionally, as evidenced by the work at other DOE facilities, regional partnerships such as the Regional Coalition strengthen the decision-making process by (1) identifying solutions that help align national, regional and local interests, and; (2) ensuring local government buy-in to decisions on the front end. By working together, we reduce the number and intensity of disputes and improve the decision-making process, saving the federal government time and money.

III. Background

LANL's M&O contractor is the largest employer in northern New Mexico. Long-term stable funding for LANL is directly tied to the region's economic stability. Local governments are charged with specific legal mandates under state and federal laws and serve as stewards of public resources, including land use planning and control. The RCLC must have sound information and effective input into the full range of technical, socioeconomic, and risk-based issues that impact the health, safety and welfare of its communities as representatives of local communities affected by past, current or proposed DOE activities.

The RCLC's Board of Directors includes one representative from each of the seven Local Government jurisdictions and two Tribal Governments surrounding LANL.

The current board membership is:

Commissioner Henry Roybal, Santa Fe County, RCLC Chair
Councilor Darien Fernandez, Town of Taos, RCLC Vice-Chair
Councilor David Izraelevitz, Los Alamos County, RCLC Treasurer
Mayor Javier E. Sanchez, City of Espanola, RCLC Secretary
Councilor Michael Garcia, City of Santa Fe
Commissioner Darlene Vigil, Taos County

Governor Ron Lovato, Ohkay Owingeh

Representatives from Rio Arriba County and Jemez Pueblo are awaiting appointment at this time.

IV. Proposed Activities

The Regional Coalition of LANL Communities is requesting \$100,000 annually from the Department of Energy to reach our goals based on the following activities:

1. RCLC engages LANL, DOE, State of New Mexico and other affiliate agencies and stakeholders on environmental and mission related issues;
2. Measuring efficacy and work outcomes in environmental cleanup and LANL mission diversification efforts through direct communication and education regarding LANL environmental cleanup and other activities;
3. General internal organizational management and ongoing activities to organize member communities through monthly board meetings and other external communications efforts to engage participatory regional communities in the understanding the Coalition's efforts

The RCLC's areas of focus include Environmental Stewardship, Community and Economic Development, Communications Media and Engagement, and Business Operations and Organizational Management.

Environmental Stewardship

GOAL: Ensure that site cleanup activities protect the environment, health and safety of local communities through design, planning and execution of cleanup activities.

Legacy Waste Cleanup

As RCLC members, we ensure DOE is aware that our communities are their primary customers. These entities have the responsibility to safeguard the health and safety of our regional communities, and to monitor the outcomes of Legacy Waste Cleanup.

- Work directly with NMED and EM-LA to ensure campaign-based priorities included highest risk cleanup work, and prioritize those items mandated within Consent Order Agreement.
- Engage with the EM-LA site management and build relationships with EM-LA leadership to convey proposed regional priorities.
 - Ensure timely delivery of Lifecycle Baseline Cost Estimate to communities
- Engage national stakeholders through ongoing participation with Energy Communities Alliance to ensure best practices and engage directly with DOE officials on community priorities.

Community & Economic Development

GOAL: Support efforts and provide opportunities for local business and individuals to secure work at LANL, while also supporting efforts to sustain and diversify LANL's missions.

- Advocacy of long-term stability of LANL missions;
- Promote new missions for LANL that the citizens of the Coalition members support;
- Promote new and diverse scientific endeavors at LANL, focusing on employment and educational opportunities within the Coalition Members' jurisdiction;
- Support business incubation and business development efforts within the region;
- Engage with Regional educational institutions to support workforce training and development
 - Meet with LANL Human Resources Office of Diversity and Strategic Staffing to understand the recruitment system;
- Provide educational awareness of LANL of its contribution toward, and impact on, the region.

Communications, Media & Engagement

GOAL: Increase visibility of the organization, its policies and positions, with an emphasis on member governments and the press. Increase internal communications and develop plan of execution. Produce meaningful and engaged communications and implement Communications Plan.

Internal Communications

- Update the RCLC Communications Plan to provide a comprehensive framework for analyzing and executing effective communications with our audiences as a method for maintaining and executing the mission of the Coalition.
- Improve administrative capabilities, through better organization and cataloging of information, to decrease amount of time needed for administrative tasks and increase capacity for progress on communication efforts.
- Post all meeting minutes, agendas, and packets to RCLC website.
- Redevelop value proposition to distinguish the RCLC's value to Northern New Mexico communities, economy, environment and relationship with LANL.

External Communications

- Strengthen and expand relationships with LANL, DOE officials, NM Environmental Department, NNM Citizen's Advisory Board and other key partners.
- Evaluate agency policies along with federal and state legislation for impacts on regional communities, economies and the environment; develop briefing memos, talking points, and fact sheets.
- Build broad awareness of LANL strategic initiatives and ensure that the RCLC's outreach and information gathering efforts are appropriately relevant to LANL's activities.
- Coordinate with other state and national organizations advocating for federal installations, with an emphasis on Energy Communities Alliance.
- Participate and provide comments during formal public comment and public outreach initiatives to impact decisions on LANL activities.
- Increase outreach and develop stronger relationships with major online and print regional newspapers and publications.

Business Operations & Organizational Management

GOAL: Manage a legally-compliant and efficient organization, as well as ensure long-term funding for the organization.

- Link Board of Directors meeting agenda items to specific strategic plan sections.
- Elevate the role of the Executive Director's reports at monthly meetings.
- Develop processes to assist the Board of Directors on development of strategic direction and policies.
- Operate the organization in compliance with applicable local, state and federal regulations, including but not limited to, ensuring all legal and financial responsibilities are met.
 - Maintain all legal, accounting, and auditing contractual relationships to ensure ongoing compliance.
- Prepare and adopt the annual strategic plan and annual budget, implementing as appropriate.
- Present to each participating member's governing body, on minimum annual basis or as requested by Board members.
- Prepare and distributed Board meeting packets, including meeting minutes and briefing memos, as necessary.
- Prepare monthly updates on relevant congressional and DOE policies and actions.
- File annual DOE-EM grant application and reports, as appropriate.
- Upgrade and maintain RCLC website
- Prepare and submit Annual Report to the Board of Directors, including:
 - The organization's work and achievements for the previous year;
 - Proposed plans for the upcoming year;
 - A financial status summary, including revenue projections and operating costs;
 - Any proposed changes to policies.

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

1.a. Type of Submission: <input checked="" type="checkbox"/> Application <input type="checkbox"/> Plan <input type="checkbox"/> Funding Request <input type="checkbox"/> Other Other (specify): <input type="text"/>		1.b. Frequency: <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Quarterly <input type="checkbox"/> Other Other (specify): <input type="text"/>		1.d. Version: <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update	
		2. Date Received: <input type="text"/>		STATE USE ONLY:	
		3. Applicant Identifier: <input type="text"/>		5. Date Received by State: <input type="text"/>	
		4a. Federal Entity Identifier: <input type="text"/>		6. State Application Identifier: <input type="text"/>	
		4b. Federal Award Identifier: <input type="text"/>			
1.c. Consolidated Application/Plan/Funding Request? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <input type="text" value="Explanation"/>					
7. APPLICANT INFORMATION:					
a. Legal Name: <input type="text" value="Regional Coalition of LANL Communities"/>					
b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="46-3714863"/>			c. Organizational DUNS: <input type="text"/>		
d. Address:					
Street1: <input type="text"/>			Street2: <input type="text"/>		
City: <input type="text"/>			County / Parish: <input type="text"/>		
State: <input type="text"/>			Province: <input type="text"/>		
Country: <input type="text" value="USA: UNITED STATES"/>			Zip / Postal Code: <input type="text"/>		
e. Organizational Unit:					
Department Name: <input type="text"/>			Division Name: <input type="text"/>		
f. Name and contact information of person to be contacted on matters involving this submission:					
Prefix: <input type="text"/>		First Name: <input type="text"/>		Middle Name: <input type="text"/>	
Last Name: <input type="text"/>			Suffix: <input type="text"/>		
Title: <input type="text"/>					
Organizational Affiliation: <input type="text"/>					
Telephone Number: <input type="text"/>			Fax Number: <input type="text"/>		
Email: <input type="text"/>					

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

8a. TYPE OF APPLICANT:

E: Regional Organization

Other (specify):

b. Additional Description:

9. Name of Federal Agency:

Department of Energy

10. Catalog of Federal Domestic Assistance Number:

81.214

CFDA Title:

Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis

11. Descriptive Title of Applicant's Project:

Regional Coalition of LANL Communities 2022-2026

12. Areas Affected by Funding:

City of Española, County of Los Alamos, County of Rio Arriba, City of Santa Fe, County of Santa Fe, Pueblo of Jemez, Ohkay Owingeh, Town of Taos, County of Taos, in Northern New Mexico.

13. CONGRESSIONAL DISTRICTS OF:

a. Applicant:

b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

14. FUNDING PERIOD:

a. Start Date:

b. End Date:

07/01/2021

06/30/2026

15. ESTIMATED FUNDING:

a. Federal (\$):

b. Match (\$):

500,000.00

16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?

a. This submission was made available to the State under the Executive Order 12372 Process for review on:

b. Program is subject to E.O. 12372 but has not been selected by State for review.

c. Program is not covered by E.O. 12372.

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

17. Is The Applicant Delinquent On Any Federal Debt?

Yes No

18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I Agree

** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

First Name:

Middle Name:

Last Name:

Suffix:

Title:

Organizational Affiliation:

Telephone Number:

Fax Number:

Email:

Signature of Authorized Representative:

Date Signed:

Attach supporting documents as specified in agency instructions.

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

Consolidated Application/Plan/Funding Request Explanation:

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

Applicant Federal Debt Delinquency Explanation:

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 02/28/2022

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Regional Coalition of LANL Communities		\$	\$	\$ 500,000.00	\$	\$ 500,000.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 500,000.00	\$	\$ 500,000.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	Regional Coalition of LANL Communities				
a. Personnel	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
b. Fringe Benefits	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
c. Travel	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
d. Equipment	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
e. Supplies	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
f. Contractual	500,000.00	<input type="text"/>	<input type="text"/>	<input type="text"/>	500,000.00
g. Construction	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
i. Total Direct Charges (sum of 6a-6h)	500,000.00	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ 500,000.00
j. Indirect Charges	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
k. TOTALS (sum of 6i and 6j)	\$ align="right">500,000.00	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ align="right">500,000.00
7. Program Income	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8.	<input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
12. TOTAL (sum of lines 8-11)		\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text" value="100,000.00"/>	\$ <input type="text" value="25,000.00"/>			
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text" value="100,000.00"/>	\$ <input type="text" value="25,000.00"/>			

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program		FUTURE FUNDING PERIODS (YEARS)			
		(b)First	(c) Second	(d) Third	(e) Fourth
16.	Regional Coalition of LANL Communities	\$ <input type="text" value="100,000.00"/>			
17.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
20. TOTAL (sum of lines 16 - 19)		\$ <input type="text" value="100,000.00"/>			

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

U.S. DEPARTMENT OF ENERGY

FINANCIAL ASSISTANCE
CERTIFICATIONS AND ASSURANCES
FOR USE WITH SF 424

Applicant: Regional Coalition of LANL Communities

Solicitation No.: DE-

The following certifications and assurances must be completed and submitted with each application for financial assistance. The name of the person responsible for making the certifications and assurances must be typed in the signature block on the forms.

Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug Free Workplace Requirements

DOE F 1600.5, Assurance of Compliance Nondiscrimination in Federally Assisted Programs

***CERTIFICATIONS REGARDING LOBBYING;
DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS;
AND DRUG FREE WORKPLACE REQUIREMENTS***

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 10 CFR Part 601 "New Restrictions on Lobbying," 10 CFR Part 606 "Governmentwide Debarment and Suspension (Nonprocurement) and 10 CFR Part 607 "Governmentwide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Energy determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

2. ADDITIONAL LOBBYING REPRESENTATION

Applicant organizations which are described in section 501(c)(4) of the Internal Revenue Code of 1986 and engage in lobbying activities after December 31, 1995, are not eligible for the receipt of Federal funds constituting an award, grant, or loan.

As set forth in section 3 of the Lobbying Disclosure Act of 1995 as amended, (2 U.S.C. 1602), lobbying activities are defined broadly to include, among other things, contacts on behalf of an organization with specified employees of the Executive Branch and Congress with regard to Federal legislative, regulatory, and program administrative matters.

Check the appropriate block:

The applicant is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986? Yes No

If you checked "Yes" above, check the appropriate block:

The applicant represents that after December 31, 1995 it has has not engaged in any lobbying activities as defined in the Lobbying Disclosure Act of 1995, as amended.

3. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust

statutes or commission of embezzlement, theft, forgery, bribery; falsification or destruction of records, making false statements, or receiving stolen property;

- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

4. DRUG-FREE WORKPLACE

This certification is required by the Drug-Free Workplace Act of 1988 (Pub.L. 100-690, Title V, Subtitle D) and is implemented through additions to the Debarment and Suspension regulations, published in the Federal Register on January 31, 1989, and May 25, 1990.

ALTERNATE I (GRANTEES OTHER THAN INDIVIDUALS)

- (1) The grantee certifies that it will or will continue to provide a drug-free workplace by:
 - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - (b) Establishing an ongoing drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace not later than five calendar days after such conviction;
 - (e) Notifying the agency, in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 - (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency;
 - (g) Making a good faith effort to continue to maintain a drug- free workplace through implementation of paragraphs (a),(b),(c),(d),(e), and (f).

- (2) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance: (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

ALTERNATE II (GRANTEES WHO ARE INDIVIDUALS)

- (1) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant.
- (2) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

5. SIGNATURE

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

Name of Applicant: Regional Coalition of LANL Communities

Printed Name and Title of
Authorized Representative: _____

SIGNATURE

DATE

Nondiscrimination in Federally Assisted Programs

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans, and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1900-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1900-0400), Washington, DC 20503.

Regional Coalition of LANL Communities (Hereinafter called the "Applicant")

HEREBY AGREES to comply with Title VI of the Civil Rights Act of 1964 (Pub. L.88-352), Section 16 of the Federal Energy Administration Act of 1974 (Pub.L.93-275), Section 401 of the Energy Reorganization Act of 1974 (Pub.L.93-438), Title IX of the Education Amendments of 1972, as amended (Pub.L.92-318, Pub.L.93-568, and Pub.L.94-482), Section 504 of the Rehabilitation Act of 1973 (Pub.L.93-112), the Age Discrimination Act of 1975 (Pub.L.94-135), Title VIII of the Civil Rights Act of 1968 (Pub.L.90-284), the Department of Energy Organization Act of 1977 (Pub.L.95-91), and the Energy Conservation and Production Act of 1976, as amended (Pub.L.94-385) and Title 10, Code of Federal Regulations, Part 1040. In accordance with the above laws and regulations issued pursuant thereto, the Applicant agrees to assure that no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity in which the Applicant receives Federal assistance from the Department of Energy.

Applicability and Period of Obligation

In the case of any service, financial aid, covered employment, equipment, property, or structure provided, leased, or improved with Federal assistance extended to the Applicant by the Department of Energy, this assurance obligates the Applicant for the period during which Federal assistance is extended. In the case of any transfer of such service, financial aid, equipment, property, or structure, this assurance obligates the transferee for the period during which Federal assistance is extended. If any personal property is so provided, this assurance obligates the Applicant for the period during which it retains ownership or possession of the property. In all other cases, this assurance obligates the Applicant for the period during which the Federal assistance is extended to the Applicant by the Department of Energy.

Employment Practices

Where a primary objective of the Federal assistance is to provide employment or where the Applicant's employment practices affect the delivery of services in programs or activities resulting from Federal assistance extended by the Department, the Applicant agrees not to discriminate on the ground of race, color, national origin, sex, age, or disability, in its employment practices. Such employment practices may include, but are not limited to, recruitment advertising, hiring, layoff or termination, promotion, demotion, transfer, rates of pay, training and participation in upward mobility programs; or other forms of compensation and use of facilities.

Subrecipient Assurance

The Applicant shall require any individual, organization, or other entity with whom it subcontracts, subgrants, or subleases for the purpose of providing any service, financial aid, equipment, property, or structure to comply with laws cited above. To this end, the subrecipient shall be required to sign a written assurance form, however, the obligation or both recipient and subrecipient to ensure compliance is not relieved by the collection or submission of written assurance forms.

Data Collection and Access to Records

The Applicant agrees to compile and maintain information pertaining to programs or activities developed as a result of the Applicant's receipt of Federal assistance from the Department of Energy. Such information shall include, but is not limited to, the following: (1) the manner in which services are or will be provided and related data necessary for determining whether any persons are or will be denied such services on the basis of prohibited discrimination; (2) the population eligible to be served by race, color, national origin, sex, age, and disability; (3) data regarding covered employment including use or planned use of bilingual public contact employees serving beneficiaries of the program where necessary to permit effective participation by beneficiaries unable to speak or understand English; (4) the location of existing or proposed facilities connected with the program and related information adequate for determining whether the location has or will have the effect of unnecessarily denying access to any person on the basis of prohibited discrimination; (5) the present or proposed membership by race, color, national origin, sex, age, and disability, in any planning or advisory body which is an integral part of the program; and (6) any additional written data determined by the Department of Energy to be relevant to its obligation to assure compliance by recipients with laws cited in the first paragraph of this assurance.

The Applicant agrees to submit requested data to the Department of Energy regarding programs and activities developed by the Applicant from the use of Federal assistance funds extended by the Department of Energy, Facilities of the Applicant (including the physical plants, building, or other structures) and all records, books, accounts, and other sources of information pertinent to the Applicant's compliance with the civil rights laws shall be made available for inspection during normal business hours on request of an officer or employee of the Department of Energy specifically authorized to make such inspections. Instructions in this regard will be provided by the Director, Office of Civil Rights, U.S. Department of Energy.

This assurance is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts (excluding procurement contracts), property, discounts or other Federal assistance extended after the date hereto, to the Applicants by the Department of Energy, including installment payments on account after such data of application for Federal assistance which are approved before such date. The Applicant recognizes and agrees that such Federal assistance will be extended in reliance upon the representation and agreements made in this assurance and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Applicant, the successors, transferees, and assignees, as well as the person(s) whose signature appears below and who are authorized to sign this assurance on behalf of the Applicant.

Applicant Certification

The Applicant certifies that it has complied, or that, within 90 days of the date of the grant, it will comply with all applicable requirements of 10 C.F.R. § 1040.5 (a copy will be furnished to the Applicant upon written request to DOE).

Designated Responsible Employee

Name and Title (Printed to Typed) () -
Telephone Number

Signature Date

Regional Coalition of LANL Communities

Applicant's Name () -
Telephone Number

Address: Date

Authorized Official:
President, Chief Executive Officer
or Authorized Designee

Name and Title (Printed to Typed) () -
Telephone Number

Signature Date

DOE EM Grant Application Budget	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Totals
Beginning Fund Balance	\$ -	-	-	-	-	\$ -
Revenues						
Restricted DOE Funding	100,000	100,000	100,000	100,000	100,000	500,000
Total Revenues	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>500,000</u>
Expenditures						
Executive Director Services	89,000	89,000	89,000	89,000	89,000	445,000
Legal Services	3,000	3,000	3,000	3,000	3,000	15,000
Accounting and Audit Services	8,000	8,000	8,000	8,000	8,000	40,000
Other						
Total Expenditures	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>500,000</u>
Ending Fund Balance	\$ -	-	-	-	-	-
Contractual Services	100,000	100,000	100,000	100,000	100,000	500,000

Budget Narrative

Executive Director Services

Services currently being procured. Assumes a total annual cost of \$165,000. The previous cost was \$183K/yr, however the RCLC is targeting a slightly lower level of cost going forward. The allocation of ~ 54% is based upon historical tracking from the previous executive director.

Legal Services
Accounting and Audit Services

The legal, accounting and audit, services are based upon existing contracts and are being allocated based upon the main cost of executive director services allocation of ~ 54%.